

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

KENNETH JOHANSEN,

Plaintiff,

V.

EFINANCIAL LLC,

## Defendant.

CASE NO. 2:20-cv-01351-RAJ-BAT

## **STIPULATED PROTECTIVE ORDER**

Plaintiff Kenneth Johansen and Defendant EFinancial LLC stipulate to the entry of a protective order. Dkt. 22. It is **ORDERED** that the parties' stipulation (Dkt. 22) is **GRANTED** and the Court enters the following Protective Order governing discovery in this case:

## 1. PURPOSES AND LIMITATIONS

Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this agreement is consistent with LCR 26(c). It does not confer blanket protection on all disclosures or responses to discovery, the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles, and it does not presumptively entitle parties to file confidential information under seal.

1 2. **"CONFIDENTIAL" MATERIAL**

2 "Confidential" material shall include the following documents and tangible things  
3 produced or otherwise exchanged:

4 a. Recordings of calls between Plaintiff or putative class members and Defendant  
5 that include information about Plaintiff's or putative class members' medical history and/or  
6 Plaintiff's or putative class members' personal identifying information;

7 b. Documents that include information about Plaintiff's or putative class members'  
8 medical history and/or personal identifying information, including but not limited to,  
9 Defendant's call logs;

10 c. Defendant's proprietary policies, training materials procedures and protocols;

11 d. Defendant's customer lists;

12 e. Documents reflecting Defendant's proprietary business strategy; and

13 f. Documents that describe, contain, or disclose non-public commercial or financial  
14 information that is treated as confidential or proprietary within the organization and would create  
15 a risk of harm to the producing party if publicly disclosed.

16 3. **SCOPE**

17 The protections conferred by this agreement cover not only confidential material (as  
18 defined above), but also (1) any information copied or extracted from confidential material; (2)  
19 all copies, excerpts, summaries, or compilations of confidential material; and (3) any testimony,  
20 conversations, or presentations by parties or their counsel that might reveal confidential material.

21 However, the protections conferred by this agreement do not cover information that is in  
22 the public domain or becomes part of the public domain through trial or otherwise.  
23

1       4. ACCESS TO AND USE OF CONFIDENTIAL MATERIAL

2       4.1     Basic Principles. A receiving party may use confidential material that is disclosed  
3 or produced by another party or by a non-party in connection with this case only for prosecuting,  
4 defending, or attempting to settle this litigation. Confidential material may be disclosed only to  
5 the categories of persons and under the conditions described in this agreement. Confidential  
6 material must be stored and maintained by a receiving party at a location and in a secure manner  
7 that ensures that access is limited to the persons authorized under this agreement.

8       4.2     Disclosure of “CONFIDENTIAL” Information or Items. Unless otherwise  
9 ordered by the court or permitted in writing by the designating party, a receiving party may  
10 disclose any confidential material only to:

11           (a)     the receiving party’s counsel of record in this action, as well as employees of  
12 counsel to whom it is reasonably necessary to disclose the information for this litigation;  
13           (b)     the officers, directors, and employees (including in house counsel) of the  
14 receiving party to whom disclosure is reasonably necessary for this litigation, unless the parties  
15 agree that a particular document or material produced is for Attorney’s Eyes Only and is so  
16 designated;

17           (c)     experts and consultants to whom disclosure is reasonably necessary for this  
18 litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

19           (d)     the court, court personnel, and court reporters and their staff;

20           (e)     copy or imaging services retained by counsel to assist in the duplication of  
21 confidential material, provided that counsel for the party retaining the copy or imaging service  
22 instructs the service not to disclose any confidential material to third parties and to immediately  
23 return all originals and copies of any confidential material;

1                         (f)      during their depositions, witnesses in the action to whom disclosure is reasonably  
2 necessary and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit  
3 A), unless otherwise agreed by the designating party or ordered by the court. Pages of  
4 transcribed deposition testimony or exhibits to depositions that reveal confidential material must  
5 be separately bound by the court reporter and may not be disclosed to anyone except as permitted  
6 under this agreement;

7                         (g)      the author or recipient of a document containing the information or a custodian or  
8 other person who otherwise possessed or knew the information.

9                         4.3      Filing Confidential Material. Before filing confidential material or discussing or  
10 referencing such material in court filings, the filing party shall confer with the designating party,  
11 in accordance with Local Civil Rule 5(g)(3)(A), to determine whether the designating party will  
12 remove the confidential designation, whether the document can be redacted, or whether a motion  
13 to seal or stipulation and proposed order is warranted. During the meet and confer process, the  
14 designating party must identify the basis for sealing the specific confidential information at issue,  
15 and the filing party shall include this basis in its motion to seal, along with any objection to  
16 sealing the information at issue. Local Civil Rule 5(g) sets forth the procedures that must be  
17 followed and the standards that will be applied when a party seeks permission from the court to  
18 file material under seal. A party who seeks to maintain the confidentiality of its information must  
19 satisfy the requirements of Local Civil Rule 5(g)(3)(B), even if it is not the party filing the  
20 motion to seal. Failure to satisfy this requirement will result in the motion to seal being denied,  
21 in accordance with the strong presumption of public access to the Court's files.

1       5. **DESIGNATING PROTECTED MATERIAL**

2       5.1     Exercise of Restraint and Care in Designating Material for Protection. Each party  
3 or non-party that designates information or items for protection under this agreement must take  
4 care to limit any such designation to specific material that qualifies under the appropriate  
5 standards. The designating party must designate for protection only those parts of material,  
6 documents, items, or oral or written communications that qualify, so that other portions of the  
7 material, documents, items, or communications for which protection is not warranted are not  
8 swept unjustifiably within the ambit of this agreement.

9              Mass, indiscriminate, or routinized designations are prohibited. Designations that are  
10 shown to be clearly unjustified or that have been made for an improper purpose (e.g., to  
11 unnecessarily encumber or delay the case development process or to impose unnecessary  
12 expenses and burdens on other parties) expose the designating party to sanctions.

13              If it comes to a designating party's attention that information or items that it designated  
14 for protection do not qualify for protection, the designating party must promptly notify all other  
15 parties that it is withdrawing the mistaken designation.

16       5.2     Manner and Timing of Designations. Except as otherwise provided in this  
17 agreement (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or  
18 ordered, disclosure or discovery material that qualifies for protection under this agreement must  
19 be clearly so designated before or when the material is disclosed or produced.

20       (a)     Information in documentary form: (e.g., paper or electronic documents and  
21 deposition exhibits, but excluding transcripts of depositions or other pretrial or trial proceedings),  
22 the designating party must affix the word "CONFIDENTIAL" to each page that contains  
23 confidential material. If only a portion or portions of the material on a page qualifies for

1 protection, the producing party also must clearly identify the protected portion(s) (e.g., by  
2 making appropriate markings in the margins).

3 (b) Testimony given in deposition or in other pretrial proceedings: the parties and any  
4 participating non-parties must identify on the record, during the deposition or other pretrial  
5 proceeding, all protected testimony, without prejudice to their right to so designate other  
6 testimony after reviewing the transcript. Any party or non-party may, within fifteen days after  
7 receiving the transcript of the deposition or other pretrial proceeding, designate portions of the  
8 transcript, or exhibits thereto, as confidential. If a party or non-party desires to protect  
9 confidential information at trial, the issue should be addressed during the pre-trial conference.

10 (c) Other tangible items: the producing party must affix in a prominent place on the  
11 exterior of the container or containers in which the information or item is stored the word  
12 "CONFIDENTIAL." If only a portion or portions of the information or item warrant protection,  
13 the producing party, to the extent practicable, shall identify the protected portion(s).

14 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to  
15 designate qualified information or items does not, standing alone, waive the designating party's  
16 right to secure protection under this agreement for such material. Upon timely correction of a  
17 designation, the receiving party must make reasonable efforts to ensure that the material is  
18 treated in accordance with the provisions of this agreement.

19 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

20 6.1 Timing of Challenges. Any party or non-party may challenge a designation of  
21 confidentiality at any time. Unless a prompt challenge to a designating party's confidentiality  
22 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic  
23 burdens, or a significant disruption or delay of the litigation, a party does not waive its right to

1 challenge a confidentiality designation by electing not to mount a challenge promptly after the  
2 original designation is disclosed.

3       6.2     Meet and Confer. The parties must make every attempt to resolve any dispute  
4 regarding confidential designations without court involvement. Any motion regarding  
5 confidential designations or for a protective order must include a certification, in the motion or in  
6 a declaration or affidavit, that the movant has engaged in a good faith meet and confer  
7 conference with other affected parties in an effort to resolve the dispute without court action. The  
8 certification must list the date, manner, and participants to the conference. A good faith effort to  
9 confer requires a face-to-face meeting or a telephone conference.

10       6.3     Judicial Intervention. If the parties cannot resolve a challenge without court  
11 intervention, the designating party may file and serve a motion to retain confidentiality under  
12 Local Civil Rule 7 (and in compliance with Local Civil Rule 5(g), if applicable). The burden of  
13 persuasion in any such motion shall be on the designating party. Frivolous challenges, and those  
14 made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on  
15 other parties) may expose the challenging party to sanctions. All parties shall continue to  
16 maintain the material in question as confidential until the court rules on the challenge.

17       If a party is served with a subpoena or a court order issued in other litigation that compels  
18 disclosure of any information or items designated in this action as “CONFIDENTIAL,” that  
19 party must:

20           (a)     promptly notify the designating party in writing and include a copy of the  
21 subpoena or court order;

22           (b)     promptly notify in writing the party who caused the subpoena or order to issue in  
23 the other litigation that some or all of the material covered by the subpoena or order is subject to

1 this agreement. Such notification shall include a copy of this agreement; and

2 (c) cooperate with respect to all reasonable procedures sought to be pursued by the  
3 designating party whose confidential material may be affected.

4 **8. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

5 If a receiving party learns that, by inadvertence or otherwise, it has disclosed confidential  
6 material to any person or in any circumstance not authorized under this agreement, the receiving  
7 party must immediately (a) notify in writing the designating party of the unauthorized  
8 disclosures, (b) use its best efforts to retrieve all unauthorized copies of the protected material,  
9 (c) inform the person or persons to whom unauthorized disclosures were made of all the terms of  
10 this agreement, and (d) request that such person or persons execute the "Acknowledgment and  
11 Agreement to Be Bound" that is attached hereto as Exhibit A.

12 **9. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED  
MATERIAL**

13 When a producing party gives notice to receiving parties that certain inadvertently  
14 produced material is subject to a claim of privilege or other protection, the obligations of the  
15 receiving parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This  
16 provision is not intended to modify whatever procedure may be established in an e-discovery  
17 order or agreement that provides for production without prior privilege review. The parties agree  
18 to the entry of a non-waiver order under Fed. R. Evid. 502(d) as set forth herein.

19 **10. NON TERMINATION AND RETURN OF DOCUMENTS**

20 Within 60 days after the termination of this action, including all appeals, each receiving  
21 party must return all confidential material to the producing party, including all copies, extracts  
22 and summaries thereof. Alternatively, the parties may agree upon appropriate methods of  
23 destruction.

1 Notwithstanding this provision, counsel are entitled to retain one archival copy of all  
2 documents filed with the court, trial, deposition, and hearing transcripts, correspondence,  
3 deposition and trial exhibits, expert reports, attorney work product, and consultant and expert  
4 work product, even if such materials contain confidential material.

5 The confidentiality obligations imposed by this agreement shall remain in effect until a  
6 designating party agrees otherwise in writing or a court orders otherwise.

7 **IT IS FURTHER ORDERED** that pursuant to Fed. R. Evid. 502(d), the production of  
8 any documents in this proceeding shall not, for the purposes of this proceeding or any other  
9 federal or state proceeding, constitute a waiver by the producing party of any privilege applicable  
10 to those documents, including the attorney-client privilege, attorney work-product protection, or  
11 any other privilege or protection recognized by law.

12 DATED this 3rd day of February, 2021.

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15 BRIAN A. TSUCHIDA  
16 Chief United States Magistrate Judge  
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